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Arizona Corporation Commission

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AZ CORP COMMISSION
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BEFORE THE ARIZONA CORPORATION COMMISSION

In the Matter of:

DOCKET NO. S-20860A-12-0414

PATRICK B. HAMMONS, CRD#1030468,
and PERI ANN HAMMONS, a.k.a. Peri
Ann Brown, husband and wife;

ANSWER

TF6 ADVISORS, LLC, an Arizona limited
liability company, d.b.a. TFG Advisors; and

PACIFIC VENTURES & TRADING LLC,
an Arizona limited liability company;

Respondents.

Respondents Patrick B. Hammons, Peri Ann Hammons, TF6 Advisors, LLC and
Pacific Ventures & Trading, LLC, for their answer to the *Notice Of Opportunity For
Hearing*, admit, deny and allege as follows:

1. Admit the allegations contained in paragraph 1.
2. Admit the allegations contained in paragraph 2.
3. Answering paragraph 3, allege that TF6 Advisors, LLC had no dba as
alleged and was organized and managed by Pat Hammons.
4. Admit the allegations contained in paragraph 4.
5. Admit the allegations contained in paragraph 5.

1 6. Admit the allegations contained in paragraph 6 regarding the Hammons
2 marital status; deny that Mrs. Hammons and the marital community are liable as alleged.

3 7. Admit the allegations contained in paragraph 7.

4 8. Answering paragraph 8, allege that the four outside investors and trust
5 referenced consist of two families and/or their affiliated entities.

6 9. Admit, upon information and belief, the allegations contained in paragraph
7 9.

8 10. Admit, upon information and belief, the allegations contained in paragraph
9 10.

10 11. Admit the allegations contained in paragraph 11.

11 12. Admit the allegations contained in paragraph 12.

12 13. Answering paragraph 13, allege that some investors were provided with
13 the packet; allege that the contents of the packet are the best evidence of and speak for
14 themselves as to their contents.

15 14. Answering paragraph 14, allege that all investors received the "Limited
16 Liability Company Units Term Sheet;" deny the remaining averments in that paragraph.

17 15. Deny the allegations contained in paragraph 15.

18 16. Answering paragraph 16, deny the allegations contained in the footnote;
19 admit the remaining averments in that paragraph.

20 17. Answering paragraph 17, allege that the document is the best evidence of
21 and speaks for itself as to its contents.

22 18. Deny the allegations contained in paragraph 18.

23 19. Answering paragraph 19, allege that the document is the best evidence of
24 and speaks for itself as to its contents.

25 20. Deny the allegations contained in paragraph 20.

26

1 21. Answering paragraph 21, admit that Hammons was compensated by PVT
2 through transfers to TF6; deny the accuracy of the remaining averments.

3 22. Admit the allegations contained in paragraph 22 as to the payment
4 structure; deny that such payment was for the "services" as defined therein.

5 23. Deny the allegations contained in paragraph 23.

6 24. Deny the allegations contained in paragraph 24.

7 25. Admit the allegations contained in paragraph 25.

8 26. Admit, upon information and belief, the allegations contained in paragraph
9 26.

10 27. Admit, upon information and belief, the allegations contained in paragraph
11 27.

12 28. Admit the allegations contained in paragraph 28.

13 29. Answering paragraph 29, allege that the account statements are the best
14 evidence of and speak for themselves as to their contents.

15 30. Admit the allegations contained in paragraph 30.

16 31. Answering paragraph 31, allege that the account statements are the best
17 evidence of and speak for themselves as to their contents.

18 32. Answering paragraph 32, allege that the account statements are the best
19 evidence of and speak for themselves as to their contents.

20 33. Answering paragraph 33, allege that the account statements are the best
21 evidence of and speak for themselves as to their contents.

22 34. Answering paragraph 34, allege that the monthly fees included analyzing
23 and investing in other equity investments.

24 35. Answering paragraph 35, allege that the account statements are the best
25 evidence of and speak for themselves as to their contents.

26 36. Admit the allegations contained in paragraph 36.

1 37. Admit the allegations contained in paragraph 37.
2 38. Deny the allegations contained in paragraph 38.
3 39. Answering paragraph 39, deny the averments contained in the last
4 sentence; admit the remaining averments.
5 40. Deny the allegations contained in paragraph 40.
6 41. Answering paragraph 41, allege that preliminary figures were provided as
7 referenced in the first three sentences; deny the remaining averments in that paragraph.
8 42. Admit the allegations contained in paragraph 42.
9 43. Deny the allegations contained in paragraph 43.
10 44. Deny the allegations contained in paragraph 44.
11 45. Deny the allegations contained in paragraph 45.
12 46. Deny the allegations contained in paragraph 46.
13 47. Deny the allegations contained in paragraph 47.
14 48. Deny the allegations contained in paragraph 48.
15 49. Deny the allegations contained in paragraph 49.
16 50. Deny all allegations contained in the *Notice Of Opportunity For Hearing*
17 not hereinabove expressly admitted or otherwise addressed.
18 51. Affirmatively allege that future discovery may reveal additional
19 affirmative defenses which are not yet known to these respondents.
20 WHEREFORE, having fully answered, respondents request that the matter be
21 dismissed in its entirety, and for such other and further relief as the Commission deems
22 appropriate.
23
24 // //
25
26 // //

1 DATED this 27th day of November, 2012.

2 CHESTER & SHEIN, P.C.

3
4 By: 

Mark D. Chester

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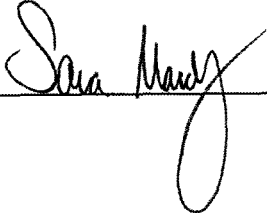
Attorneys for Respondents

8 **Original** and thirteen (13) copies of the foregoing
9 mailed this 27th day of November, 2012, to:

10 Docket Control
11 Arizona Corporation Commission
12 1200 West Washington Street, 1st floor
Phoenix, Arizona 85007

13 **Copy** of the foregoing mailed this 27th
14 day of November, 2012, to:

15 Ryan J. Millecam, Esq.
16 Arizona Corporation Commission
17 Securities Division
18 1300 West Washington Street, 3rd Floor
Phoenix, Arizona 85007

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